

A.P. Gilfoyle Markets' Charter

The purpose of this Charter is to define A.P. Gilfoyle Markets' mission and the strategic actions we will undertake to achieve it.

A.P. Gilfoyle Markets is a public benefit corporation committed to fostering transparency, upholding ethical standards, and advancing sustainable, long-term investment practices within global capital markets. We recognize that driving meaningful change in such a complex ecosystem is challenging, but we believe that meaningful progress only comes through deliberate effort.

Our mission extends beyond our operations; we consider our work successful if it inspires or empowers others to pursue similar goals in shaping a more responsible financial future. To that end, we commit to the following principles:

Transparency

We define transparency as the practice of making relevant, accurate, and timely information accessible to stakeholders while ensuring the confidentiality of sensitive data crucial to our competitive and fiduciary responsibilities.

We are committed to openly communicating our principles, engaging with stakeholders honestly, and providing relevant information while maintaining confidentiality and market sensitivity.

Transparency serves as the guiding principle behind our decisions, governance, and long-term strategies.

Ethics

We believe that ethical conduct is fundamental to building trust and fostering long-term success. We commit to acting with integrity, fairness, and accountability in all aspects of our business.

Our decisions are guided by a strong sense of responsibility to our stakeholders and society. We actively identify and manage conflicts of interest while ensuring that our actions reflect the highest standards of professionalism and moral responsibility.

Stability & Sustainability

Sustainability means ensuring that our actions create a lasting positive impact on society. While not every operational decision requires long-term evaluation, major initiatives and strategic projects must be assessed for their broader social, environmental, and economic effects.

Stability reflects our commitment to maintaining financial resilience and operational continuity. We believe that sustainable practices and stable operations are mutually reinforcing, driving long-term success for our stakeholders and the communities we serve.

Disclaimer

This Charter contains “forward-looking statements” within the meaning of the “safe harbor” provisions of the Private Securities Litigation Reform Act of 1995. Forward-looking statements include statements that are predictive in nature, depend upon or refer to future events or conditions, or include words such as “**expects**,” “**intends**,” “**plans**,” “**believes**,” “**anticipates**,” “**targets**,” “**estimates**,” “**may**,” “**will**,” “**should**,” “**could**,” or similar expressions. These statements are based on current expectations, assumptions, and projections about future business strategies, market conditions, operational performance, and other matters.

Forward-looking statements involve inherent risks, uncertainties, and assumptions that could cause actual results or outcomes to differ materially from those expressed or implied. These factors include, but are not limited to, changes in economic conditions, regulatory developments, market volatility, competitive dynamics, and operational challenges.

A.P. Gilfoyle Markets undertakes no obligation to update or revise any forward-looking statements, whether as a result of new information, future events, or otherwise, except as required by law. Readers are cautioned not to place undue reliance on these statements, which speak only as of the writing of this Charter.

This Charter is for informational purposes only and does not constitute an offer to sell or a solicitation of an offer to buy any securities, investment products, or financial services by A.P. Gilfoyle Markets or its affiliates. Any offering of securities will be made only through definitive offering documents and in compliance with applicable securities laws and regulations.